



# Uscan Transactional Report

Example Client Name

Prepared by Example Adviser Name

This report covers the period shown.

Any information included relates only to the accounts detailed in the 'Accounts' section of this report. The past performance and risk assessment calculations will include any fund switches and relevant fund events such as fund mergers. Please read the important information contained on the final pages of this report for more information on the terminology and assumptions used.

**Past performance is not a guide to future performance**

This communication is designed for use by professional financial advisers. It is not intended as a promotion of a particular fund, or funds.

## Client Summary from 18/06/2016 - 17/06/2021

This is a summary of performance during the reporting period. 'Payments in' and 'out' include contributions and withdrawals to and from the accounts specified within this report. Income is defined as interest and dividends produced by the assets held but does not include withdrawals from any accounts detailed. Fees include those deducted from your account to cover payment for advice, in agreement with your financial adviser or discretionary investment manager. Charges include deductions from your accounts to pay Quilter. 'Difference in value of holdings' shows the performance of your investments, taking into account the values of all of the underlying assets held during the reporting period and money in and out. MWR (Money Weighted Return) is a measure of the rate of return of your investments that incorporates the size and timing of contributions and withdrawals.

### Value of Holdings

18/06/2016 **£62,439.76**

17/06/2021 **£199,628.12**

Money in	
Payments in	<b>£76,091.25</b>
Income in	<b>£4,707.99</b>
<b>Total in</b>	<b>£80,799.24</b>

Money out	
Payments out	<b>£0.00</b>
Fees and charges out	<b>-£6,512.76</b>
<b>Total out</b>	<b>-£6,512.76</b>

Valuation summary	
Difference in value of holdings	<b>£137,188.36</b>
Net money in/out	<b>£74,286.48</b>
<b>Change due to performance</b>	<b>£62,922.54</b>

Money Weighted Return	
The return on holdings taking into account the sizing and timing of payments in and out is:	<b>62.83%</b>

### Accounts

**Historic Risk:** The historic risk level represents the historic volatility over the period stated for the account and takes into account the changing composition of the assets over that period of time.

**Prospective Risk:** The prospective risk level represents the expected level of future volatility of the combination of asset classes, determined by using objective mathematical modelling tools taking into account past data and implied market data in the future. This derivation is based on the current asset class composition of the assets held. To ensure accuracy, the prospective risk score will only be shown if the end date of the report has not been varied.

**Individual Savings Account** **£199,628.12**

Account Number AC1234567-003

Historic Risk: 3 years

Lower Higher

Prospective Risk:

Lower Higher

If your adviser uses Quilter's risk profiling tool your historic risk score is a 8 and your prospective risk score is a 9.

## Client holdings as at 17/06/2021

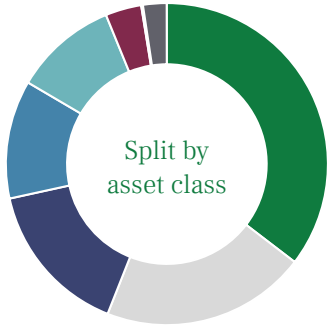
This is a view of all holdings in each of the accounts specified on the first page of this report.

### Individual Savings Account

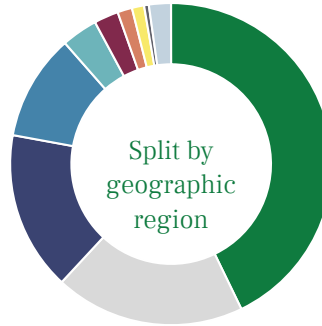
Assets	Units	(£) Price	(£) Value	% of account
Artemis Income I Acc	2,100.52	5.29	11,109.46	5.57
<b>BlackRock US Opportunities A Acc</b>	<b>517.01</b>	<b>14.12</b>	<b>7,300.11</b>	<b>3.66</b>
CFP SDL UK Buffettology General Acc	8,008.97	1.52	12,164.83	6.09
<b>ES R&amp;M UK Equity Smaller Companies B Acc</b>	<b>265.45</b>	<b>31.82</b>	<b>8,447.74</b>	<b>4.23</b>
First Sentier Global Listed Infrastructure B Acc GBP	2,047.39	3.29	6,729.15	3.37
<b>Franklin UK Mid Cap W Inc</b>	<b>5,045.65</b>	<b>2.13</b>	<b>10,761.86</b>	<b>5.39</b>
Fundsmith Equity I Acc	2,358.09	6.08	14,342.14	7.18
<b>Invesco Asian (UK) Y Acc</b>	<b>1,995.99</b>	<b>5.14</b>	<b>10,262.78</b>	<b>5.14</b>
Invesco Global Emerging Markets (UK) Y Acc	4,611.99	4.50	20,733.20	10.39
<b>Invesco Global Smaller Companies (UK) Y Acc</b>	<b>2,018.93</b>	<b>4.80</b>	<b>9,681.17</b>	<b>4.85</b>
JPM US Equity Income C Acc	1,549.33	3.55	5,497.03	2.75
<b>Jupiter European I Acc</b>	<b>306.11</b>	<b>32.10</b>	<b>9,826.19</b>	<b>4.92</b>
LF Equity Income Z Acc GBP	4,317.77	0.03	149.83	0.08
<b>LF Gresham House UK Micro Cap C Acc</b>	<b>3,276.02</b>	<b>2.53</b>	<b>8,289.96</b>	<b>4.15</b>
Lindsell Train LF Lindsell Train UK Equity Acc	1,085.40	5.05	5,477.45	2.74
<b>Marlborough Multi Cap Income P Acc</b>	<b>3,078.67</b>	<b>2.35</b>	<b>7,246.58</b>	<b>3.63</b>
Marlborough UK Micro Cap Growth P Acc	764.95	12.11	9,266.26	4.64
<b>Pictet Global Environmental Opportunities I dy GBP</b>	<b>15.13</b>	<b>276.98</b>	<b>4,192.06</b>	<b>2.10</b>
Premier Miton European Opportunities B Acc GBP	689.83	3.13	2,160.54	1.08
<b>Stewart Investors Asia Pacific Leaders Sustainability B Acc GBP</b>	<b>1,199.39</b>	<b>9.95</b>	<b>11,930.17</b>	<b>5.98</b>
SVM UK Opportunities B	1,965.38	7.52	14,775.73	7.40
<b>Thesis Stonehage Fleming International B Inc</b>	<b>848.29</b>	<b>5.08</b>	<b>4,310.99</b>	<b>2.16</b>
Troy Asset Management Ltd Trojan Income O Acc	1,414.97	3.50	4,957.06	2.48
Cash			15.83	0.01
<b>Individual Savings Account Total</b>			<b>£199,628.12</b>	<b>100.00%</b>

## Client holdings as at 17/06/2021

This applies to all accounts selected.



Asset class	Weight %
UK Equities	35.41
Asia Pacific Equities	20.59
North American Equities	15.57
International Equities	11.89
European Equities	10.37
Money Market	3.62
Property	0.12
Global Emerging Market Equities	0.05
Others	2.38



Geographic region	Weight %
UK	42.82
Asia Pacific	19.09
North America	15.97
Europe	10.66
Money Market	3.62
International	2.45
Japan	1.47
Americas	1.22
Middle East/ Africa	0.48
Others	2.22



Stock sector	Weight %
Financials	13.03
Industrials	11.64
Consumer Discretionary	10.97
Information Technology	7.56
Health Care	7.35
Consumer Staples	6.42
Technology	3.93
Communications	3.74
Money Market	2.88
Others	32.48



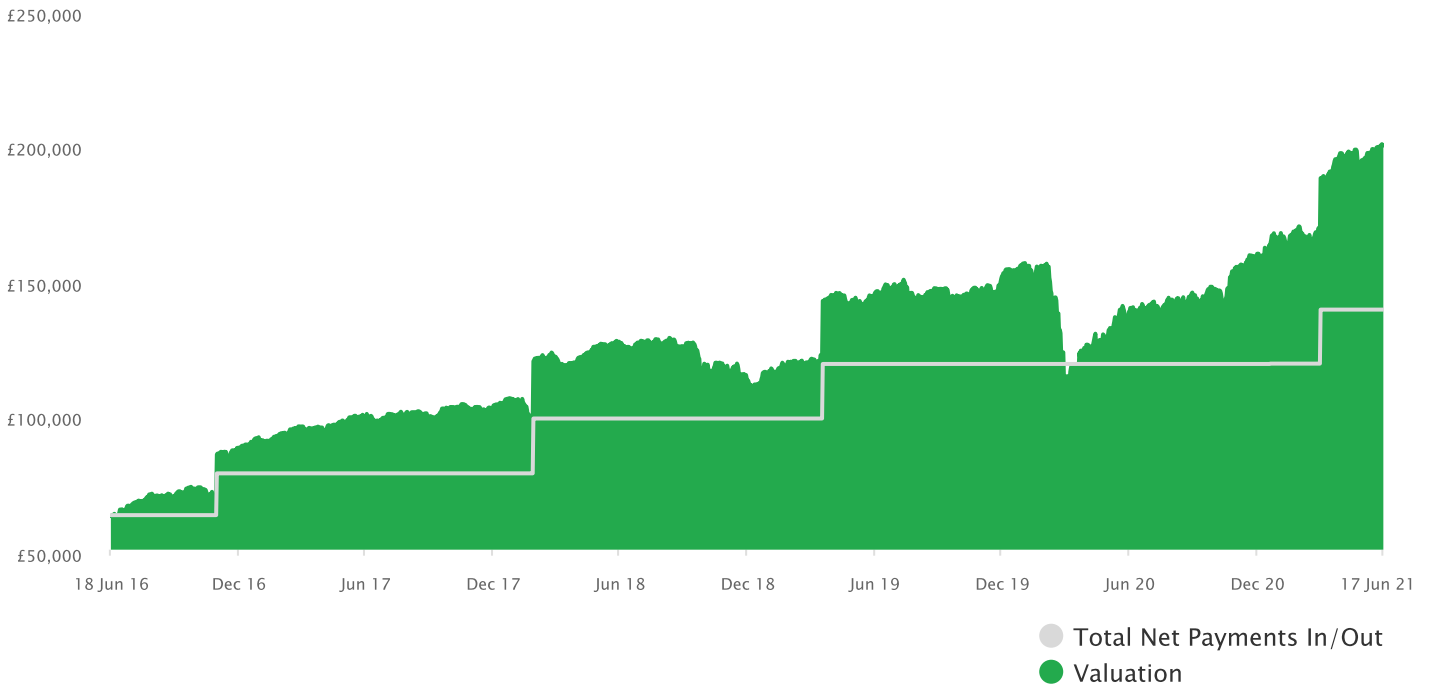
Split by industry sector	Weight %
IA UK All Companies	21.63
IA Global	17.50
IA UK Smaller Companies	13.03
IA UK Equity Income	11.68
IA Global Emerging Markets	10.39
IA North America	6.41
IA Europe Excluding UK	6.00
IA Specialist	5.98
IA Asia Pacific Excluding Japan	5.14
Others	2.24

## Top Ten holdings

Holding Name	Weight %
TAIWAN SEMICONDUCTOR MANUFACTURING	1.11
ALIBABA GROUP HLDG LTD	1.01
SAMSUNG ELECTRONICS CO	0.94
RELX NV	0.75
GAMES WORKSHOP GROUP	0.63
TENCENT HLDGS LIMITED	0.62
LONDON STOCK EXCHANGE GROUP PLC	0.60
SAMSUNG FIRE & MARINE	0.54
DIAGEO	0.43
3I GROUP PLC ORD	0.43
<b>Percentage of selected accounts analysed</b>	<b>7.06</b>

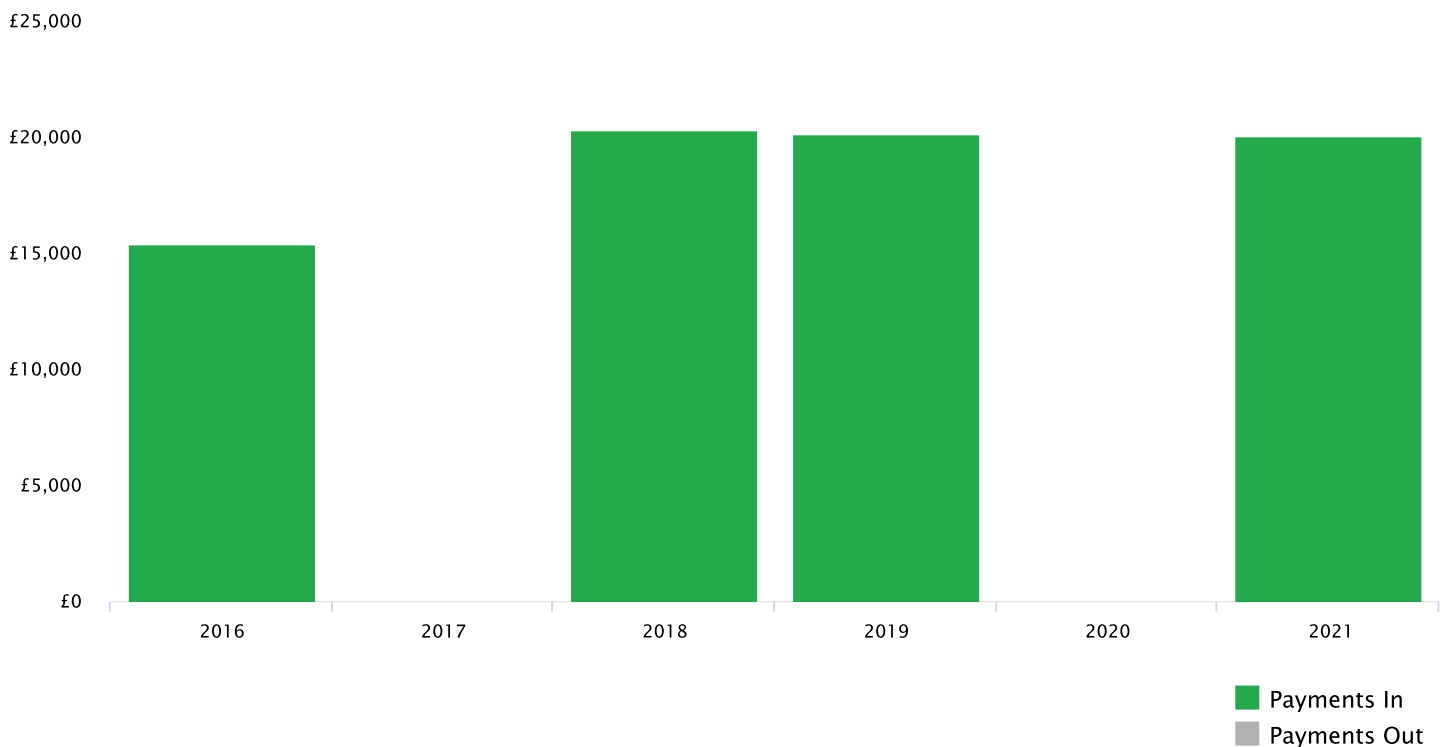
### Valuation and payments in/out from 18/06/2016 - 17/06/2021

The chart shows the value of your accounts against net payments in and out, over the reporting period



### Payments in/out from 18/06/2016 - 17/06/2021

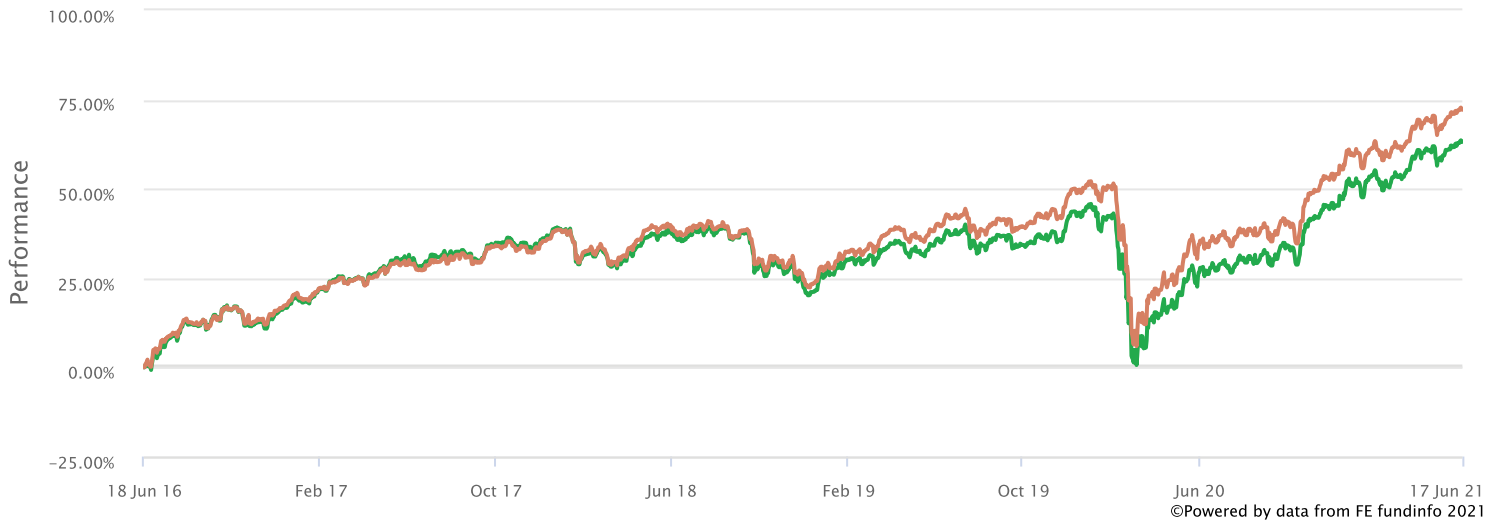
This chart shows the payments in/out of your accounts, over the reporting period.



## Time Weighted Performance

### Cumulative performance from 18/06/2016 - 17/06/2021

This chart shows the time weighted performance against the benchmark detailed below. The performance measure takes into account the values of all of the underlying assets held, income including interest and dividends, and any fees and expenses that have been incurred during the reporting period. It differs to the Money Weighted Return (MWR) figure at the beginning of this report as it does not include the effect of investments or withdrawals during the reporting period.

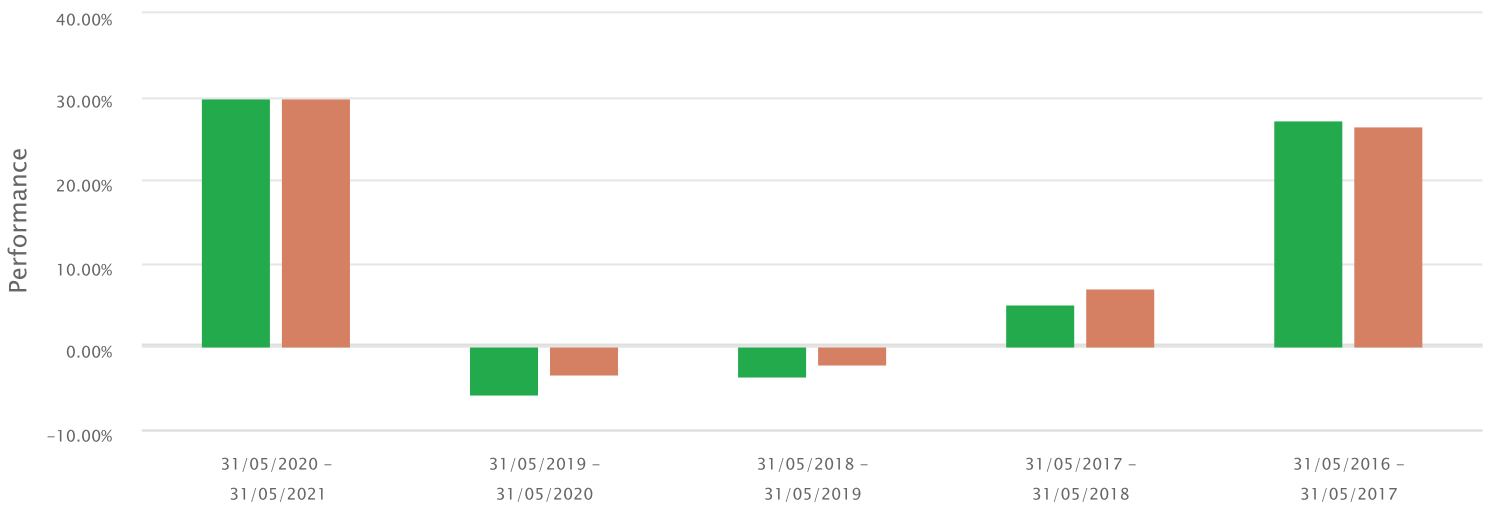


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	1 Month	3 Months	6 Months	1 Year	2 Years	3 Years	5 Years	Since 18/06/2016
● Portfolio	2.79	6.08	12.32	28.97	21.69	17.97	63.18	63.18
● Weighted Sector Average	2.95	5.99	12.02	28.10	24.60	23.08	72.14	72.14

## Discrete performance

This chart shows the time weighted performance against the benchmark detailed below. The performance measure takes into account the values of all of the underlying assets held, income including interest and dividends, and any fees and expenses that have been incurred during the reporting period. It does not include the effect of investments or withdrawals during the reporting period.

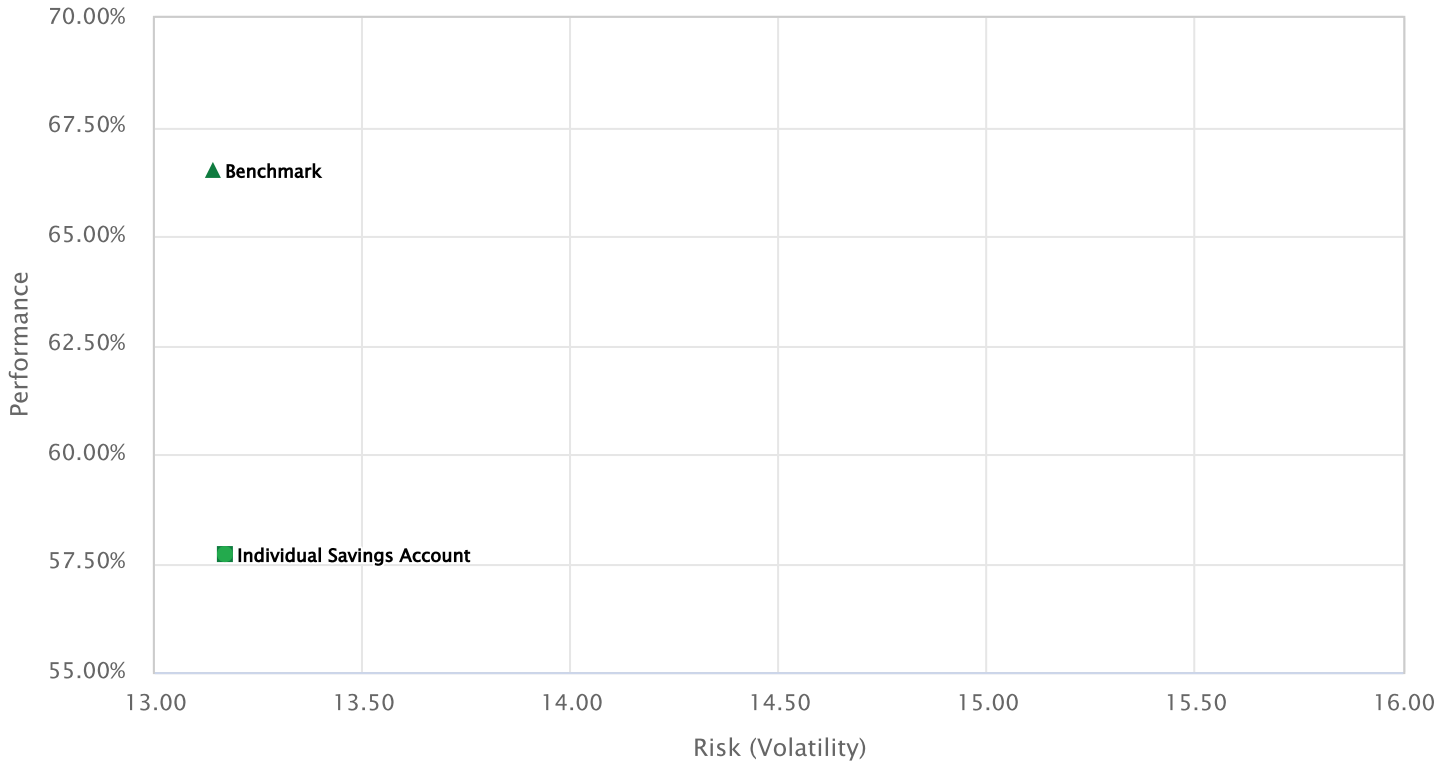


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	31/05/2020 - 31/05/2021	31/05/2019 - 31/05/2020	31/05/2018 - 31/05/2019	31/05/2017 - 31/05/2018	31/05/2016 - 31/05/2017
● Portfolio	29.82	-5.70	-3.57	5.07	27.14
● Weighted Sector Average	29.80	-3.29	-2.07	7.15	26.44

### Risk/return chart from 31/05/2016 - 31/05/2021

The risk/return chart shows how your accounts have performed and their volatility, over the time and period shown.



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		Performance%	Volatility(Risk)
●	Individual Savings Account	57.70	13.17
■	Portfolio	57.70	13.17
▲	Weighted Sector Average	66.50	13.14

Fees and charges from 18/06/2016 - 17/06/2021

**Your Adviser Fees**

£

Adviser Ongoing Servicing Fee	4,604.11
Adviser Initial Fee	733.16
<b>Total</b>	<b>£5,337.27</b>

**Quilter Charges**

£

Service Charge	1,175.49
<b>Total</b>	<b>£1,175.49</b>



## Ratios from 31/05/2018 - 31/05/2021

This table shows key performance ratios for your current holdings over the period shown. Funds/assets use the fund/asset's industry sector as the benchmark. Accounts use the benchmark selected for this report.

Investments	Alpha	Beta	Sharpe	R2	Volatility
Artemis Income I Acc	1.44	0.92	0.00	0.97	17.33
BlackRock US Opportunities A Acc	-4.92	1.24	0.37	0.84	21.19
CFP SDL UK Buffettology General Acc	6.97	0.88	0.33	0.72	19.16
ES R&M UK Equity Smaller Companies B Acc	5.03	0.84	0.57	0.91	19.08
First Sentier Global Listed Infrastructure B Acc GBP	-0.49	0.69	0.23	0.51	13.19
Franklin UK Mid Cap W Inc	1.45	1.21	0.06	0.90	23.39
Fundsmith Equity I Acc	6.35	0.83	0.93	0.76	12.99
Invesco Asian (UK) Y Acc	-0.54	1.11	0.34	0.95	16.44
Invesco Global Emerging Markets (UK) Y Acc	1.95	1.11	0.40	0.96	17.92
Invesco Global Smaller Companies (UK) Y Acc	-3.53	1.43	0.36	0.93	20.42
JPM US Equity Income C Acc	-1.96	0.94	0.48	0.88	15.84
Jupiter European I Acc	2.02	0.75	0.35	0.78	13.99
LF Equity Income Z Acc GBP	-41.46	0.48	-0.15	0.08	31.51
LF Gresham House UK Micro Cap C Acc	3.67	0.90	0.49	0.93	20.21
Lindsell Train LF Lindsell Train UK Equity Acc	4.19	0.59	0.20	0.60	13.94
Marlborough Multi Cap Income P Acc	1.13	1.04	0.00	0.93	20.06
Marlborough UK Micro Cap Growth P Acc	4.51	1.06	0.51	0.94	23.69
Pictet Global Environmental Opportunities I dy GBP	3.03	0.89	0.75	0.80	15.43
Premier Miton European Opportunities B Acc GBP	12.77	0.89	1.05	0.78	16.58
Stewart Investors Asia Pacific Leaders Sustainability B Acc GBP	6.09	0.67	0.59	0.55	11.28
SVM UK Opportunities B	2.88	1.39	0.12	0.94	26.34
Thesis Stonehage Fleming International B Inc	-0.72	1.29	0.24	0.91	14.80
Troy Asset Management Ltd Trojan Income O Acc	0.67	0.61	0.00	0.79	12.65
Individual Savings Account	-0.52	0.99	0.19	0.99	15.78
Portfolio	-0.52	0.99	0.19	0.99	15.78

## Fund ratings as at 17/06/2021

Details of the rating agencies included below are available at the end of this document.

Investments	Morningstar Analyst Rating	FE fundinfo Crown Rating	Citywire Rating	Raynor Spencer Mills Rating	Alpha Manager Rating
Artemis Income I Acc			-		-
BlackRock US Opportunities A Acc	-		-	-	-
CFP SDL UK Buffettology General Acc	-				
ES R&M UK Equity Smaller Companies B Acc	-		-		
First Sentier Global Listed Infrastructure B Acc GBP			-		-
Franklin UK Mid Cap W Inc			-		
Fundsmith Equity I Acc					
Invesco Asian (UK) Y Acc					-
Invesco Global Emerging Markets (UK) Y Acc	-		-	-	-
Invesco Global Smaller Companies (UK) Y Acc	-		-		-
JPM US Equity Income C Acc			-		-
Jupiter European I Acc			-		
LF Equity Income Z Acc GBP	-		-	-	-
LF Gresham House UK Micro Cap C Acc	-			-	-
Lindsell Train LF Lindsell Train UK Equity Acc					
Marlborough Multi Cap Income P Acc	-		-		-
Marlborough UK Micro Cap Growth P Acc	-			-	-
Pictet Global Environmental Opportunities I dy GBP			-		-
Premier Miton European Opportunities B Acc GBP	-		-		-
Stewart Investors Asia Pacific Leaders Sustainability B Acc GBP			-		
SVM UK Opportunities B	-		-		-
Thesis Stonehage Fleming International B Inc	-		-	-	-
Troy Asset Management Ltd Trojan Income O Acc			-		

Other fund information as at 17/06/2021

Investments	Launch date	Fund size (£M)	Industry Sector	Fund Manager Start Date	Fund Manager
Artemis Income I Acc	06/06/2000	£4,874.30	IA UK Equity Income	01/01/2002 01/10/2012 01/02/2018	Adrian Frost Nick Shenton Andy Marsh
BlackRock US Opportunities A Acc	01/08/1997	£95.17	IA North America	04/04/2017 04/04/2017 04/04/2017	David Zhao Franco Tapia Tony DeSpirito
CFP SDL UK Buffettology General Acc	18/09/2017	£1,614.00	IA UK All Companies	28/03/2011	Keith Ashworth-Lord
ES R&M UK Equity Smaller Companies B Acc	30/11/2006	£552.85	IA UK Smaller Companies	03/04/2018	Daniel Hanbury
First Sentier Global Listed Infrastructure B Acc GBP	08/10/2007	£1,696.10	IA Global	08/10/2007 01/06/2011	Peter Meany Andrew Greenup
Franklin UK Mid Cap W Inc	12/07/1999	£1,143.45	IA UK All Companies	01/02/2006 01/06/2013 02/09/2013	Paul Spencer Mark Hall Richard Bullas
Fundsmith Equity I Acc	01/11/2010	£25,130.52	IA Global	01/11/2010	Terry Smith
Invesco Asian (UK) Y Acc	31/03/2014	£1,684.36	IA Asia Pacific Excluding Japan	01/04/2015	William Lam
Invesco Global Emerging Markets (UK) Y Acc	31/03/2014	£259.36	IA Global Emerging Markets	28/09/2018 28/09/2018 31/01/2020	Ian Hargreaves William Lam Charles Bond
Invesco Global Smaller Companies (UK) Y Acc	31/03/2014	£747.58	IA Global	31/12/2010 31/12/2019	Global Smaller Companies Group Erik Esselink
JPM US Equity Income C Acc	15/12/2008	£3,683.63	IA North America	15/12/2008 04/11/2019 04/11/2019	Clare Hart Andrew Brandon Dave Silberman
Jupiter European I Acc	03/08/1987	£4,230.93	IA Europe Excluding UK	01/10/2019 01/10/2019	Mark Heslop Mark Nichols
LF Equity Income Z Acc GBP	19/06/2014	£164.21	IA Unclassified	19/06/2014	Neil Woodford
LF Gresham House UK Micro Cap C Acc	19/05/2009	£364.97	IA UK Smaller Companies	19/05/2009 02/06/2015	Ken Wotton Brendan Gulston
Lindsell Train LF Lindsell Train UK Equity Acc	10/07/2006	£6,631.85	IA UK All Companies	10/07/2006	Nick Train
Marlborough Multi Cap Income P Acc	01/07/2011	£1,176.05	IA UK Equity Income	01/07/2011	Siddarth Chand Lall
Marlborough UK Micro Cap Growth P Acc	04/10/2004	£1,705.97	IA UK Smaller Companies	01/02/2012 01/01/2021	Guy Feld Eustace Santa Barbara
Pictet Global Environmental Opportunities I dy GBP	12/07/2011	£8,205.37	IA Global	26/08/2014 26/08/2014 01/09/2018	Gabriel Micheli Luciano Diana Yi DU
Premier Miton European Opportunities B Acc GBP	14/12/2015	£2,650.04	IA Europe Excluding UK	14/12/2015 14/12/2015	Carlos Moreno Thomas Brown
Stewart Investors Asia Pacific Leaders Sustainability B Acc GBP	01/12/2003	£7,347.19	IA Specialist	30/06/2016 30/06/2016	David Gait Sashi Reddy
SVM UK Opportunities B	20/03/2000	£194.18	IA UK All Companies	23/01/2006 01/01/2014	Neil Veitch Craig Jeruzal
Thesis Stonehage Fleming International B Inc	31/01/1995	£457.71	IA Flexible Investment	13/06/2019 01/07/2020	Liz Evans Nicola Muirhead
Troy Asset Management Ltd Trojan Income O Acc	30/09/2004	£2,763.98	IA UK Equity Income	30/09/2004 19/01/2009 30/10/2020	Francis Brooke Hugo Ure Blake Hutchins

## Important Information

Past performance for the funds marked ^ has been based on prices that include simulated values.

Please refer to [www.platform.quilter.com/glossary](http://www.platform.quilter.com/glossary) for further information about the terms used in this document.

All the performance information shown is in Pound Sterling. The value of holdings shown in this account includes fees and charges that have been accrued but not yet deducted. I.e. The value of the account may be less after the fee and charge deductions have taken place.

Charges and fees shown in this report include deductions already made from the accounts shown. The report does not include charges or fees that have been accrued but are yet to be deducted.

Time Weighted Return calculates the performance of a portfolio taking into account the values of the underlying assets, income such as interest and dividends, and fees and charges that have been incurred. It excludes contributions and withdrawals of capital.

Money Weighted Return (MWR) is a measure of the rate of return of a client's portfolio that incorporates the size and timing of the adding and/or removal of capital i.e. deposits and withdrawals. It takes into account the values of all underlying assets, interest and dividends, fees and charges including adviser fees and charges paid to Quilter, plus the contribution or withdrawal of capital and its timing.

For ISAs the performance does not allow for tax reclaims that have been available at various times in the past.

Fund % growth is calculated using bid prices with income re-invested back into the fund net of tax. For fund or sector average benchmarks the % growth is also calculated net of tax.

Any benchmark against which the fund is compared has been selected by your financial adviser or discretionary investment manager. Quilter has not verified that the benchmark is appropriate for your holdings.

Prices are based on the latest available bid price as at the date of the report.

As funds in the following sectors do not meet any pre-set parameters, it may be inappropriate to compare them on a like-for-like basis. Unit trust and OEIC sectors: Unclassified, IA Specialist, IA Property Other.

Unit prices, and the income from units, may fall as well as rise. An investor may not get back the amount invested.

## Ratings

**FE fundinfo crown ratings:** The Crown Fund ratings are assigned by FE fundinfo Limited and are for reference only. The Crown Fund Ratings are compiled using three key measurements of an underlying fund's performance – alpha, volatility and consistency. An underlying fund will have higher crown fund rating, if it has superior consistent performance in relation to risk, relative to their peer groups. One crown being the lowest rating, and five crowns being the highest. No crowns means the underlying fund has not been rated. These ratings will be reviewed by FE fundinfo Limited on a regular basis.

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**Morningstar analyst ratings:** Morningstar provides independent fund ratings which aim to be predictive in nature. The ratings are determined following in-depth qualitative and quantitative analysis. Funds are reviewed regularly and ratings adjusted to reflect any change in investment style or approach. From time to time a fund rating may be subject to review, in which case the rating becomes suspended.

**FE fundinfo alpha manager ratings:** FE fundinfo Alpha Manager Ratings, rate the performance of a fund manager over their career back to the year 2000, including all funds they have managed and places worked. They are designed to distinguish fund managers who have consistently performed well over the longer term.

The robust methodology is comprised of two key components:

- Risk adjusted alpha/Sortino (with track record length bias)
- Consistent outperformance of a benchmark overall

For more information visit [www.trustnet.com](http://www.trustnet.com)

**RSMR:** A fund rating from RSMR is based on the use of both quantitative and qualitative research, with the latter providing a forward-looking view of the manager's ability to deliver strong, consistent performance. This qualitative view is gained through face to face meetings with fund management teams and is a key part of their methodology. Rather than have various categories of rating, they use a binary approach under which a fund, range, investment trust or DFM is either rated or not, and they provide additional information with fund factsheets and profiles, enabling advisers to make the most appropriate selection for their clients. Full fund information is available on their website at [www.rsmr.co.uk](http://www.rsmr.co.uk) together with their fund methodology documentation.

*Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.*

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