



## Adviser Fee Authorisation

### About this form

Use this form to authorise Quilter to pay any fee(s) you have agreed with your financial adviser from your investment with us. Please check that this form is completed correctly and signed; we cannot be responsible for any consequences if the details are incorrect. The completed form will be retained by your financial adviser, who will inform us of the fee(s) you have authorised.

### 1. Your details

Account holder:

### 2. Your investment

Product: Stocks and Shares ISA

Account number:

### 3. Fees you have agreed to pay your financial adviser

Initial fee (for cash transfer payments): £200.00  
Adviser ongoing service fee: 0.75% of the value of your account each year. The fee is calculated daily and paid every month.

Over the last 12 months, the total amount of Adviser Ad Hoc Fee(s) paid to your financial adviser is £40.19.

### 4. Declaration – made by each investor/authorised signatory

A) I instruct Quilter to:

- i) deduct any fee(s) detailed in this document from my account(s)/bond(s) and pay them to my financial adviser or Discretionary Investment Manager (if applicable).
- ii) stop commission payments being paid to my financial adviser where applicable in respect of the account(s)/bond(s).

B) I understand that:

- i) any current commission payments will stop immediately, and the agreed fee(s) will be paid in line with my account/bond Terms and Conditions
- ii) the fee(s) agreed will be deducted from my account/bond as outlined in this form
- iii) where a percentage servicing fee or portfolio fee is agreed, the amount paid may go up or down depending on the value of my investment on the date the fee is calculated
- iv) I will need to reauthorise any future increases to fees
- v) the adviser fee(s) will apply to ALL investments I hold in my account(s)/bond(s) stated in section 2

C) I confirm that:

- (i) my financial adviser has explained the effect that deductions of fees will have on the future value of my investment
- (ii) Any fee(s) authorised in this form in relation to a Collective Retirement Account are solely in relation to advice and/or services my financial adviser has provided or will be providing in relation to that account and do not relate to any other advice or services my adviser has provided
- (iii) I have the authority to approve instructions for the accounts/bond(s).

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Portland Terrace  
Southampton, SO14 7EJ

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(iv) Where the fees (other than initial fees) relate to my CIA or CIB, before I signed this form my financial adviser explained the tax implications of Quilter deducting these fees.

D) I understand that:

- i) I can amend or cancel a Servicing Fee by giving at least 10 working days' notice to Quilter, but I cannot make changes to a discretionary management portfolio fee
- ii) I cannot cancel a fee after it has been deducted from my account/bond; instead I would need to contact my financial adviser to discuss whether a refund is payable in full or in part
- iii) if I cancel a fee, a pro rata payment may be made for the period up to the date of allocation.

### **Cancelling the Adviser Fee Agreement**

If you no longer wish to pay adviser fees on this account, you can cancel this agreement by writing to us at any time. Any instructions to cancel an adviser fee will be confirmed with you and your adviser.

Your financial adviser will still be able to place investment on your behalf if this agreement is cancelled. You will need to make other arrangements with your financial adviser to pay any outstanding or new fee arrangements.

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**W:** [platform.quilter.com](http://platform.quilter.com)

## 5. Authority - all investors/authorised trustees/authorised signatories for the account must sign

Signature

Date (dd/mm/yyyy)

 /  /  2  0 

Print full name

Capacity *eg account holder, trustee*

Signature

Date (dd/mm/yyyy)

 /  /  2  0 

Print full name

Capacity *eg account holder, trustee*

Signature

Date (dd/mm/yyyy)

 /  /  2  0 

Print full name

Capacity *eg account holder, trustee*

Signature

Date (dd/mm/yyyy)

 /  /  2  0 

Print full name

Capacity *eg account holder, trustee*

Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

Quilter Investment Platform Limited is registered in England & Wales under number 1680071.

Registered Office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB, United Kingdom. Authorised and regulated by the Financial Conduct Authority. Financial Services register number 165359. VAT number 386 1301 59.

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